This application is for a claims made insurance policy. Except as otherwise provided, the policy will cover only claims first made against the applicant and reported to the insurer during the policy period.

Please Note – The Limit of Liability available to pay damages shall be reduced and may be completely exhausted by payment of claims expenses. Damages and claims expenses shall be applied against the Deductible / Self Insured Retention.

APPLICANT'S INSTRUCTIONS

- 1. Please complete all questions in full and in block capitals or type.
- 2. If you need more space, continue on Supplement 1 and indicate question number.
- 3. Please complete the additional supplements where required.
- 4. This application, and all supplement forms, must be signed and dated by a principal of the firm.

Sec	tion 1. The Applican	t	
1.1	Name of Applicant		
1.2	Mailing Address		
1.3	Telephone	E-Mail	
1.4	Requested insurance		
1	Inception Date		
	Expiry Date		
	Limit Each Claim	Aggregate Limit	
	Deductible / Self Insured Retention	Retroactive Date	
1.5	Is any Professional Liability Yes / No	r insurance in favour of the Applicant currently in	n force?
	If yes, please indicate Pro	fessional Liability insurance carried for the past y	/ear
	Inception Date		
	Expiry Date		
	Limit Each Claim	Aggregate Limit	
	Deductible / Self Insured Retention	Retroactive Date	
	Premium	54.0	
1.6	Gross Billings:		
	This Year(est) USD	Last Year USD	

1.7	Date A established If less than 1 ye	applicant ear, please	comp	lete Supp	olement	1 and pr	ovide the re	esumes of the	Principo	als
1.8	Is the Applican	ıt non-profi	it maki	ng?						
	Yes / No				(If yes, details)		complete	Supplement	1 and	include
1.9	Does the Appli	cant subc	ontrac	t work to	others?					
	Yes / No				(If yes details)		complete	Supplement	1 and	include
1.10	Does the App undertak		ve cle	early def	ined pr	ocedures	s to ensure	the quality	of wor	k that is
	Yes / No									
1.11	Has the name amalgamated significantly in t	l with or i	nto th	e Applic	ant, ho	s the no	ature or size			
	Yes / No				(If yes details)		complete	Supplement	1 and	include
1.12	Is the Applican	it controlle	d, owr	ned by or	associo	ted with,	or does the	e Applicant co	ontrol or	own any
	other entity? Yes / No				(If yes, details)		complete	Supplement	1 and	include
1.13	Does the Appli	cant use c	ı writte	n contra	ct:					
	Always	More 25%	than	Less tha	n 25%	Never				

Section 2. Architect and Engineer Risk Details

2.1 Professional Services

Please identify the nature and types of professional services the Applicant is engaged in and indicate the percentage of gross revenues derived from each. (*Total must equal 100%*)

	Service	%
a.	Design with construction review	
b.	Design without construction review	
C.	Construction review without design	
d.	Project or construction management	
e.	Feasibility, economic or other studies	
f.	Boundary surveying	
g.	Subsurface soils testing, soils analysis, ground testing	

h.	Material testing	
i.	Foundation design	
j.	Interior design/Space planning	
k.	Forensic/Expert witness	
I.	Other (please specify)	

2.2 Professional Disciplines (*Total must equal 100%*)

Disciplines	%	Disciplines	%
Architecture		Landscape Architecture	
Civil Engineering		Land Surveying	
Mechanical Engineering		Construction Management	
Electrical Engineering		Process Engineering	
Structural Engineering		Chemical Engineering	
Soils Engineering		Environmental *	
Laboratory Testing		Hydrogeology/Geology	
HVAC Engineering		Interior Design	
Marine/Coastal Engineering		Land Use Planning	
Nuclear Engineering		Design/Build **	
Mining Engineering		Other (Please specify)	

^{*} If yes, Supplement 2 must be completed

2.3 Projects

Please indicate types of projects as a percentage of the Applicant's Gross Billings. (*Total must equal 100%*)

	Projects	%		Projects	%
a.	Schools, colleges or public buildings		m Wo	ater systems *	
b.	Hospitals, retirement homes or convalescent hospitals		n. Bri	dges, trestles or tunnels *	
C.	Hotels, motels or resort properties		o. La	nd reclamation design *	
d.	Condominiums *		p. Str	ructures for offshore use *	
e.	Garages, theatres or grandstands		q. Ho	arbours, jetties, docks or piers *	
f.	Shopping centres		r. Mo	achine design/mechanical design	
g.	Office/mercantile/commercial building		s. Ea	arth dams/reservoirs	
h.	Public utilities or industrial buildings		t. Pip	pelines *	
i.	Single family residential subdivisions *		u. Pe	etrochemical *	
j.	Custom single family residential		v. Mi	nes and quarries *	
k.	Apartments and other multi-unit residential		w Nu	uclear projects *	

^{**} If yes, Supplement 3 must be completed

Sewage or waste disposal	x. Other (please specify) *	
systems *		

Where Projects are marked * please complete Supplement 1 and provide details

2.4 Please indicate percentage of the Applicant's Gross Billings attributable to the following types of clients. (*Total must equal 100%*)

	Client Type	%
a.	Government or Public Entities	
	Federal State, County or Local	
b.	Owners acting as their own	
	builders	
C.	Turnkey contractors	
d.	Design/Build contractors	
e.	Other contractors	
f.	Developers *	
g.	Financial and lending	
	institutions	
h.	Other design professionals	
i.	Other (please specify) *	

Where marked * and % > 10% please complete Supplement 1 and provide details

- **2.5** During the past twelve months, has the Applicant or any subsidiary, parent or other organisation related thereto, been engaged in **ANY** of the following?
 - a. Actual construction, fabrication, or erection.
 - b. Development, sale or leasing of computer software.
 - c. Real Estate development.
 - d. Manufacture, sale, leasing or distribution of any product, process or patented production process.
 - e. Design of a building, component or system which might be used on more than one project.

Yes / No

(If yes, please complete Supplement 1 and include details)

2.6 Has the Applicant entered into any Joint Ventures?

Yes / No

If yes, is Joint Venture coverage required?

Yes / No (Please complete Supplement 4 and include

details)

2.7 Does the Applicant or any principal have any financial interest in any projects for which it has provided professional services?

Yes / No

If yes, is coverage for Equity interest required?

Yes / No (Please complete Supplement 5 and include

details)

2.8 Does the Applicant have any abandoned projects?

Yes / No (If yes, please complete Supplement 1 and

include details)

Section 3. Loss History

3.1 Has Professional Liability insurance ever been declined, cancelled, had special terms imposed or renewal thereof refused?

Yes / No(If yes, please complete Supplement 1 and include details)

3.2 Has the Applicant or any Director, Officer, Employee Or Partner, who has provided or will provide professional services on behalf of the Applicant, been subject to disciplinary action as a result of professional activities?

Yes/No

(If yes, please complete Supplement 1 and include details)

3.3 Is the Applicant aware of any errors, omissions or claims (including any circumstances reported to previous insurers which have not developed into claims) during the last ten years?

Yes / No(If yes, please complete Supplement 1 and include details)

If any such claims exist, or any such facts or circumstances exist which could give rise to a claim, then those claims and any other claims arising from such facts or circumstances will be excluded from the proposed insurance.

3.4 Has the Applicant been a party to any lawsuit or other legal proceeding within the past five years?

Yes/No (If yes, please complete Supplement 1 and include details)

3.5 Is the applicant aware or does the applicant have any knowledge or information of any act, error, omission, fact or circumstance which may give rise to a claim which may fall within the scope of the proposed insurance?

Yes / No (If yes, please complete Supplement 1 and include details)

If such knowledge or information exists, any claims arising from such acts or circumstances will be excluded from the proposed insurance.

All written statements and materials furnished in conjunction with this application are hereby incorporated by reference into this application and made a part hereof.

This application does not bind the applicant to buy, or the company to issue, the insurance, but it is agreed that this application shall be the basis of the contract.

The applicant further declares that if the information supplied on this application changes between the date of this application and the time when the policy is issued, the applicant will immediately notify their broker of such changes, and the applicant notes that in this event any outstanding quotations and/or authorisation or agreement to bind the insurance may be withdrawn or modified.

Notice: In certain States, any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime. In New York, a person who commits such crime shall be subject to a civil penalty not to exceed USD 5000 and the stated value of the claim for each such violation.

I have read the foregoing application of insurance including supplements and warrant that, after enquiry,

to the best knowledge of all persons to be insured, the responses provided on behalf of the applicant are true and correct, and no material facts have been omitted.					
Applicant's Signature	Date	_20			
Title					
Must be signed by the Owner, or a Partner or Officer of the	Applicant				

Name Applic		of	Dat	e:
Branc Addre		Office		
1.		se indicate the % of the Applicant's Gross bada%	illings derived from proje	ects outside the USA and
2.		e more than 20% of the Applicant's revenues of tor contract.	during the last financial y	vear derived from a single
	Yes /	No		
3.	Staffi	ng		
	a)	Total Number of staff:		
		No of staff	Last year	This year
		Principals / Partners / Directors		
		Other Licenced Professionals		
		Other Staff Please indicate the Applicant's staff turnover	(%)	
	b)	Please provide the following:		

Name of Principals & Qualified Employees	Professional Qualifications/ Designations	Number of years in practice	Number of years with Applicant

4.	Please list Professional Associations to which the Applicant belongs:				

5. Subcontracting

Does the Applicant subcontract to others:

Yes / No

If yes please complete the sections below

a) Please indicate types and percentages of work the Applicant subcontracts to others:

г			
	Architecture	Soils	

6.

Civil			Structural			
Мес	hanical		HVAC			
Elect	rical		Other (please specify)			
b)	Please describe the	process by which the App	olicant selects subcontract	ors and subconsultants:		
c)	c) Are written contracts used for all subcontractors and subconsultants?					
	Yes / No					
d)	d) Do the Applicant's contracts with subcontractors and subconsultants contain indemnification and hold harmless provisions?					
	Yes / No					
e)	Does the Applicant of	obtain certificates of insur	ance from all subcontract	ors and subconsultants?		
	Yes / No					
f)	f) Is the Applicant named as an Additional Assured under all subcontractor and subconsultant General Liability polices?					
	Yes / No					
Pleas	se provide percentag	e revenue derived from fo	ollowing. <i>Total must equal</i>	100%		
			Corporation			
Eada	ral Covernment	0/	CI	0/		

State/Municipelities:	pal		% Inc	dividuals:	%		
Non-Profit Organization	s:		%				
				ings derived from v gins before design is			
Please indico	ate the	e Applicant's five l	argest jobs/projects	during the past thre	ee years:		
Name Location:	&	Client Owner:	Project Type:	Professional Services:	Fees:	Construction Values:	Completion Date:
2004110111				001110001		Valadoi	Baror

9. Claims and Circumstances

This section is to be completed if the applicant is currently or has been involved in any claim or suit during the last 10 years.

If space is insufficient to answer any question fully, please use separate sheet. Do not attach copies of summons and complaint.

Please note this supplement is for underwriting information and does not constitute notice of claim. If you wish to notify a claim on your current or expiring policy please check the claims provisions of your policy and/or seek advice from your broker.

Please leave no blanks

Fu	ll name of individual(s) and name of firm involve	ed in the claim:	
a)			
b)			
c)			
Ac	dditional Defendants:		
a)			
b)			
c)			
Fu	Il name of claimant:		
Do	ate of alleged error:		
То	what insurance company was this claim report	red?	
Do	ate reported:		
P	resent status of claim (circle one): Open	In Suit	Closed
lf p	pending, please indicate:		
a)	Amount asked in summons:	USD	
b)	Claimant's Settlement demand:	USD	
c)	Defendant's offer for settlement:	USD	
d)	Total amount paid in defense costs to date:	USD	
e)	Total damages paid/outstanding:	USD	
lf d	closed, please indicate amounts paid in:		

Page 6 of 6

			Cost		
Indemnity	USD		S	USD	
	f claim, including like valuation). DO NOT A				vide enough information
a) Allegat	tion upon which Clair	mant bases clain	n :		
b) Descrip	otion of events:				

10. Additional Information (Please use additional sheets if necessary)

Additional Information (continued)

I understand the inf Liability Insurance ar	formation submitted he and is subject to the sam	erein becomes po ne representations	art of the Renewal and conditions.	Application	for Professional
Applicant's Signature			Date		20
Title					
Must be signed by th	e Owner, or a Partner o	or Officer of the Ap	pplicant		

ENVIRONMENTAL CONSULTANTS AND ENGINEERS COVERAGE

d) Abatement project management

e) Other (please specify)_____

This Supplement to the Application for Architects and Engineers is to be completed by firms providing professional services on environmental projects, unless unchanged from last year.

Name Applicant:	of		Date:			
Please ind	icate Gross Billings attributable to each of the	following.				
		Gross Billings (Amounts in USD 000's)				
1.	ENVIRONMENTAL SERVICES	Last Fiscal Year	Projected Current Fiscal Year	Percentage to be Subcontracted		
a)	Preparation of environmental studies and reports					
b)	Phase I & Phase II remedial action investigations, feasibility studies, inspections and audits					
c) d)	Remedial design without supervisory					
e) f)	Preparation of environmental permit					
g)	Laboratory analysis and testing					
h)	Soil, air and water sampling/testing					
i) j)	Preparation of manuals and other					
k) I)	Other (please specify)					
	TOTAL ENVIRONMENTAL					
2.	ASBESTOS CONSULTING SERVICES					
a)	Air monitoring					
b)	Sampling and testing					
c)	Abatement design					

	s the Applicant contract or sub-contract to p	novido rialido orrientidadiale	1130111003
Yes ,	[/] No		
	SERVICES		
	PROFESSIONAL	(Amounts in Work Performed By The Applicant	USD 000's) Work Performed By Others
a)	Project management		
b)	Sampling/analysis		
c)	Monitoring System design/installation		
d)	Tank testing/monitoring		
e)	Tank design/installation		
f)	Other (please specify)		
	TOTAL PROFESSIONAL		
		(Amounts in	USD 000's)
	REMEDIAL ACTION	Work Performed By The Applicant	Work Performed By Others
a)	Hazardous materials clean up/soil removal		
b)	On-site hazardous waste treatment		
c)	Groundwater treatment/recovery		
d)	Mobile incinerators		
e)	Barrier construction/slurry walls/liners		
f)	Hazardous materials emergency response/clean up		
	Tank removal		
g)			
	Other (please specify)		
g)	Other (please specify) TOTAL REMEDIAL ACTION		
g)		(Amounts in Work Performed By The	USD 000's) Work Performed By

her (please specify) PTAL TRANSPORTATION PILLING Derating oil/gas wells /gas drilling medial monitoring wells her (please specify)	(Am Work Performed B Applicant	nounts in y The	USD 000's) Work Performed B Others
PILLING Derating oil/gas wells /gas drilling medial monitoring wells her (please specify)	(Am Work Performed B Applicant	nounts in y The	Work Performed B
perating oil/gas wells /gas drilling medial monitoring wells her (please specify)	Work Performed B Applicant	y The	Work Performed B
perating oil/gas wells /gas drilling medial monitoring wells her (please specify)	Work Performed B Applicant	y The	Work Performed B
perating oil/gas wells /gas drilling medial monitoring wells her (please specify)	Applicant	·	
/gas drilling medial monitoring wells her (please specify)			
medial monitoring wells her (please specify)			
her (please specify)			
TAL DRILLING			
BCONTRACTORS			
ease list all the Applicant's remedial covide:	ction subcontractor	s and in	dicate the services
Subcontractors		Туре о	of Services
			
ubcontractors hired under written contro			
	subconfractor confro	act.	
		bcontrac	ctors:
ease provide a copy of the Applicant's	ures for qualitying sui		
ease provide a copy of the Applicant's	ures for qualitying sui		
ease provide a copy of the Applicant's	ures for qualifying sui		
			ase provide a copy of the Applicant's subcontractor contract. scribe in detail the Applicant's procedures for qualifying subcontrac

	PERMITS, RIGHTS, AUTHORITIES				
a)	List all permits held with Federal, State, County or Municipal governments, including p numbers and expiration dates:				
	Permit Number Expiration				
b)	What percentage of subcontractors work under their own permits, rights or authority?				
	%				
c)	What percentage of subcontractors work under the Applicant's permits, rights or authority?				
	%				
d)	Does the Applicant check required permits for subcontractors?				
	Yes / No				
	INSURANCE				
a)	Is the Applicant named as an Additional Insured on the subcontractors' General Liability Pollution Legal Liability insurance policies?				
	Yes / No				
b)	Does the Applicant require certificates of insurance from subcontractors?				
	Yes / No				
c)	What minimum limits does the Applicant require?				
	Workers Compensation:				
	General Liability:				

PROFESSIONAL LIABILITY INSURANCE

11. Additional Information (Please use additional sheets if necessary)

SUPPLEMENT 2 - ARCHITECTS & ENGINEERS

I understand the information submitted herein becomes part of the Renewal Application for Professional Liability Insurance and is subject to the same representations and conditions.

Applicant's Signature	 Date	20
Title		

Must be signed by the Owner, or a Partner or Officer of the Applicant

DESIGN/BUILD COVERAGE

Nam Appl	ne licant:	of			Date:	
Plea	se indic	cate Gross Billings attributable to ec	ach of the followin	g.		
۱.	CON	ISTRUCTION VALUES/PROFESSIONAL	FEES			
				Gross Billing	gs (Amounts in U	SD 000's)
			Last Fisc 20_	cal Year	Projected Curr 20_	
			Construction Values	Professional Fees	Construction Values	Professional Fees
	a)	Design and Construction	<u></u>			
	b)	Design Only - No Construction				
	c)	Construction Only – No Design				
	d)	Construction Management Other (please specify)				
	e)					
		TOTAL ALL OPERATIONS				
2.	DESIG	GN/BUILD SERVICES				
	Pleas	se describe relationship between th	ne design firm and	I construction fi	rm:	
3.	Plea	se describe construction observatio	on services perforn	ned by desian t	irm:	
••	1 100	oo accombe continuenti obcorvant	on convious ponen	nea by acoigin		

Limit Deductible						
Term						
Company						
				CGL	Umbrello	1
Please provide t Umbrella Liability		details wit	h respect to	o the Applicant's	s Commercial General Li	ability and
Yes / No						
				aim or lien again ceeds USD 10,00	st any party because of 0?	
Yes / No						
Has the Applicant or any subcontractor ever defaulted, failed to complete a contract, or had liquidated damages or similar penalties assessed against them?						
Yes / No						
Is the Applicant budget overrun					ding an unexcused delay	/, a
Yes / No						
name and indic	ate if circums aware of any	tance has	been repo	rted to the insurc	ils by attachment. Includ Ince carrier. Workmanship or faulty or	de projec
LIABILITY ISSUES						
Yes / No	If yes, pleas	se provide	e details by	attachment		
Has a surety cor	npany ever de	eclined to	offer a bor	nd?		
What is the capacity?	Applicant's	current	bonding	USD		
. 71		ocivices po	enonnea, c	onstruction value	es and completion dates.	

13. Additional information (Please use additional sheets if necessary)

Additional information (continued)		
l understand the information submitted here Liability Insurance and is subject to the same i	ein becomes part of the Renew representations and conditions.	al Application for Professional
Applicant's Signature	Date	20

Title	

Must be signed by the Owner, or a Partner or Officer of the Applicant

JOINT VENTURE INTEREST COVERAGE

This Supplement to the Application for Architects and Engineers Professional Liability Insurance is to be completed for those projects in which the Applicant has an Equity Interest.

Use a	separate Supplement for each l	Equity Interest Project					
Name Applic			Date:	:			
JOINT 1.	VENTURE Name of Joint Venture:						
2.	Name and addresses of all firm	ns comprising Joint Ven	iture:				
-	(Please submit a copy of the J	oint Venture Agreemer	nt between the member	firms).			
PROJE	CT INFORMATION						
3.	Name and location of project:						
-							
4.	Project description and services the Applicant is to perform:						
-							
CONS	TRUCTION VALUES/FEES						
5.	Give estimated beginning and gross receipts for each phase:	d completion dates fo	or all design and constru	uction phases, indicating			
		Beginning Dates	Completion Dates	Gross Receipts (USD)			
	Schematic Design Phase:						
	Design Development Phase: Construction Documentation Phase:						
	Bidding/Negotiation Phase:						
	Construction Administration	1					

	Phase:		
6.	Total estimated o	construction value of the project:	USD
7.	Total estimated (Venture:	gross receipts from project to Joint	USD
8.	Total estimated received by app	l gross receipts from project licant to date:	USD
9.	Total estimated Applicant in next	gross receipts from project to 12 months:	USD
LIABIL	ITY ISSUES		
10.	•	eclined to provide, cancelled or ref ticipating in the Joint Venture?	used to renew any similar insurance for any
	Yes / No	If yes, please explain in detail.	
11.		aware of any circumstance which m , with respect to this Joint Venture p If yes, please explain in detail.	nay result in any claim against the Applicant or roject?
12.		suit ever been made against the Apint Venture project?	oplicant, or against any other member firm, with
	Yes / No	If yes, please explain in detail.	
13.		essional Liability insurance currently g NAMED INSURED, COMPANY, TERN	in force by each member firm of the Joint 1, LIMIT, and DEDUCTIBLE
14.	Describe nature	of work the Joint Venture subcontra	cts to others:

PROFESSIONAL LIABILITY INSURANCE 15. Does the Joint Venture require certificates of insurance from its subcontractors? Yes / No 16. Additional information (Please use additional sheets if necessary) I understand the information submitted herein becomes part of the Renewal Application for Professional Liability Insurance and is subject to the same representations and conditions. Applicant's Date _____ 20_____ Signature

SUPPLEMENT 4 - ARCHITECTS & ENGINEERS

Title	

Must be signed by the Owner, or a Partner or Officer of the Applicant

EQUITY INTEREST COVERAGE

This Supplement to the Application for Architects and Engineers Professional Liability Insurance is to be completed for those projects in which the Applicant has an Equity Interest.

a separate Supplement for each Equity Interest Pro ne of		
	Date	:
JECT INFORMATION		
Name of project:		
Project description and services the Applicant i	is to perform:	
Please indicate the following:		
	Beginning Dates	Completion Dates
Construction Phase		
Total construction value:	USD	_
Total gross receipts to all design professionals:	USD	-
Total gross receipts to Applicant:	USD	_
ITY INTEREST		
Give full name of all parties having an Equity In ownership for each party.		
Name		e Ownership equal 100%)
	Please indicate the following: Design Phase Construction Phase Total construction value: Total gross receipts to all design professionals: Total gross receipts to Applicant: Ty INTEREST Give full name of all parties having an Equity In ownership for each party.	Date Description Date Description and services the Applicant is to perform: Please indicate the following: Beginning Dates Design Phase Construction Phase Total construction value: USD Total gross receipts to all design professionals: USD Total gross receipts to Applicant: USD TY INTEREST Give full name of all parties having an Equity Interest in the project. Please in ownership for each party. Percentage

Was Equity In	terest taken in lieu of gross receipts?
Yes / No	If yes, please provide details by attachment.
GENERAL INF	ORMATION
	olicant or any subsidiary, parent or related entity, engage in construction, manufac n in connection with this project?
Yes / No	If yes, please explain in detail.
	e parties named in Question 7, including their owners, officers or employees, engag manufacturing or fabrication in connection with this project?
Yes / No	If yes, please explain in detail.
Has any clain	n or suit ever been made against any of the parties named in question 7?
Yes / No	If yes, please explain in detail.
Is the Annlice	ant aware of any circumstance which may result in any claim against the Applican rty named in Question 7?

12			
13.	Additional information (Please use add	aitional sneets it necessary)	
l unde	erstand the information submitted here	ein becomes part of the Renewa	al Application for Professional
Liabili	ly Insurance and is subject to the same	representations and conditions.	
Applic Signat		Date	20

Title	 	

Must be signed by the Owner, or a Partner or Officer of the Applicant