



Some sections of the application may not apply to your firm. Where this is the case, please mark "not applicable" (N/A).

## Application Wizard

This application has been generated based upon responses to the Application Wizard questions. If any of these are incorrect, please contact your producer, or access the online Errors and Omissions application to correct.

### Mergers or Acquisitions or Divestitures

1. Have you sold any companies during the past three years?  Yes  No
2. Have you acquired or merged with any companies or acquired any assets during the past three years?  Yes  No

### Business Products & Services

3. Select any of the following products and services currently generating 5% or more of your revenue:

#### Software Development

- Prepackaged Software
- Custom Software
- Internet Infrastructure Software

#### Consulting, System Integration and Design

- Consulting
- System Integration and Design

#### Hardware or Network Equipment & Components

- Hardware or Network Equipment & Components

#### Networking Voice and Data Transport

- Networking Voice and Data Transport

#### Data Entry, Time Sharing, Processing or Billing Services

- Data Entry, Time Sharing, Processing or Billing Services

#### Value Added Services

- Networking Infrastructure Construction or Design
- Maintenance, Service or Support; Engineering
- Value Added Reselling
- Internet Service / Access Provider, Internet Portal
- Application Service Provider
- Temporary Leasing of Computer Programmers
- Web Hosting
- Web Design:
- Data Center / Outsourcing / Network Managed Services
- Video Conferencing
- Directory or Operator Services
- Call Centers and Help Desk
- Data Retrieval / Infomediary / Search Engine
- Retail or Wholesale Sales
- Other

### Contracts and Agreements

4. Does your maximum hardware contract, purchase order or agreement exceed \$10MM?  Yes  No  
What is the value of your largest hardware contract, purchase order or agreement? \_\_\_\_\_
5. Does your maximum networking voice and data transport contract or agreement exceed \$10MM?  Yes  No  
What is the value of your largest networking voice & data transport contract or agreement? \_\_\_\_\_
6. Does your maximum contract or agreement (other than Hardware or Networking voice & data transport) exceed \$2.5MM?  Yes  No  
What is the value of your largest contract or agreement? \_\_\_\_\_

### Subcontractors

7. Do you subcontract over 20% of development, implementation or support of your products or services?  Yes  No



Some sections of the application may not apply to your firm. Where this is the case, please mark "not applicable" (N/A).

Before continuing, please attach copies of:

1. Advertising Materials and Product Brochures
2. Copies of standard and largest sales, service & license contracts or agreements
3. Most recent annual report or audited financial statement, 10Q, and 10K if not available via the internet
4. Your proforma and business plan if your company is less than three years old
5. Detailed loss information for the last five years
6. A sample of your "Work for Hire" contract with your subcontractors
7. Other materials as applicable

## Applicant Information

Applicant Name: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 City: \_\_\_\_\_  
 State: \_\_\_\_\_  
 Zip Code: \_\_\_\_\_  
 Country: \_\_\_\_\_  
 Applicant Contact's Email Address: \_\_\_\_\_  
 Requested Effective Date: \_\_\_\_\_

## I. General Information

1. List all of your web site addresses:  
 \_\_\_\_\_  
 \_\_\_\_\_
2. Number of years in business? \_\_\_\_\_
3. Requested Retroactive Date: \_\_\_\_\_

## Limit of Insurance

### 4. Aggregate Claim Limit:

Requested: \_\_\_\_\_  
 Optional: \_\_\_\_\_  
 Optional: \_\_\_\_\_

## Deductible

5. Deductible (each claim):  \$25,000  \$50,000  \$100,000  \$250,000  \$500,000  Other  
 Other: \_\_\_\_\_

## Revenue

6. Worldwide Revenue including Licensing fees:

	Domestic	Foreign	Total
Prior year			
Current Year			
Estimated Next Year			

## Financials

7. Over the past four years, how many years did you post a positive net income?  0  1  2  3  4
8. Is your company domiciled in New York State?  Yes  No
9. Is the value of your company's gross assets greater than \$25 million?  Yes  No  
 Is your company's net worth greater than \$1,500,000?  Yes  No  
 Is your company's net worth greater than \$7,500,000?  Yes  No

## 10. Composition of your Workforce (percent-based):

Technical: \_\_\_\_\_  
 Sales and Marketing: \_\_\_\_\_  
 Total number of employees: \_\_\_\_\_  
 Average annual turnover: \_\_\_\_\_

## Mergers or Acquisitions or Divestitures

11. Have you sold any companies during the past three years?  Yes  No

Describe any divestitures which occurred during the past three years:

Did you retain the liabilities?

Yes  No

12. Have you acquired or merged with any companies or acquired any assets during the past three years?  Yes  No

How many acquisitions have you made in the past 12 months? \_\_\_\_\_

Provide details on any acquisition(s) which occurred during the past three years:

Did you purchase assets, liabilities, or both?  Assets  Liabilities  Both Assets and Liabilities  None

Provide details on any merger(s) which occurred during the past three years:

13. Does your due diligence include any of the following:

Review of prior litigation

Yes  No

Evaluation of outstanding contracts or agreements

Yes  No

Consideration of existing maintenance agreements

Yes  No

Governmental regulatory enforcement actions

Yes  No  N/A

Information technology system integration and compatibility

Yes  No  N/A

## II. Types of Products and Services

1. Describe your business operations, and the application of your products or services:

2. Describe Typical Customer / End User:

### Business Products & Services

3. Select any of the following products and services currently generating 5% or more of your revenue:

#### Software Development

Prepackaged Software

Custom Software

Internet Infrastructure Software

#### Consulting, System Integration and Design

Consulting

System Integration and Design

#### Hardware or Network Equipment & Components

Hardware or Network Equipment & Components

#### Networking Voice and Data Transport

Networking Voice and Data Transport

#### Data Entry, Time Sharing, Processing or Billing Services

Data Entry, Time Sharing, Processing or Billing Services

#### Value Added Services

Networking Infrastructure Construction or Design

Maintenance, Service or Support; Engineering

Value Added Reselling

Internet Service / Access Provider, Internet Portal

Application Service Provider

Temporary Leasing of Computer Programmers

Web Hosting

Web Design:

Data Center / Outsourcing / Network Managed Services

Video Conferencing

Directory or Operator Services

Call Centers and Help Desk

Data Retrieval / Infomediary / Search Engine

Retail or Wholesale Sales

Other

4. Type of Products and Services

	% of Prior Year Revenue	% of Current Year Revenue
Hardware Equipment and Components Manufacturing		
Network Equipment and Components Mfg.		
Hardware or Network Equipment and Components Assembly		
Custom Software Development		
Prepackaged Software Development		
Internet Infrastructure Software		
Application Service Provider		
Consulting		
Specialty Programming		
System Integration and Design		
Value Added Reselling		
Data Entry		
Data Time Sharing		
Data Processing Services		
Billing Services		
Data Retrieval / Infomediary / Search Engine		
Maintenance, Service or Support; Engineering		
Temporary Leasing of Computer Programmers		
Retail or Wholesale Sales		
Web Hosting		
Web Design		
Internet Access Only		
Internet Service Provider		
Internet Portal (design, build, or operate)		
Networking Infrastructure Construction or Design		
Video Conferencing		
Directory or Operator Services		
Data Storage or Management		
Remote Network Management		
Facilities Management		
eBusiness Solutions		
Call Centers & Help Desks		
Wireline or Wireless Transport		
Co Location Environments		
IT Outsourcing		
Disaster Recovery Services		
Infrastructure for ASPs		
Other outsourced or data center services		
Other		

Please describe any Other products or services:

\_\_\_\_\_

Please describe any Other outsourced or data services:

\_\_\_\_\_

Please describe your consulting activities:

\_\_\_\_\_

5. Please describe any of your products or services that generate less than 5% of your revenue:

\_\_\_\_\_

6. Do you perform work at the direction of others (on a time and material basis)?  Yes  No

Please indicate % of annual Revenue derived from this type of activity: \_\_\_\_\_

7. Do you provide project management services?  Yes  No

Please indicate % of annual Revenue derived from this type of activity: \_\_\_\_\_

### III. Application of Products and Services

1. If the application of any products you develop, or services you provide, is included in the list below, identify them and indicate the percentage of annual revenue:

- Network or online security advice or products  
% of annual revenue: \_\_\_\_\_
- Process Control, Monitoring or Safety Critical  
% of annual revenue: \_\_\_\_\_
- Banking or financial transactions  
% of annual revenue: \_\_\_\_\_
- Sales, Distribution & Inventory Management  
% of annual revenue: \_\_\_\_\_
- Local or State Government  
% of annual revenue: \_\_\_\_\_
- Federal Government  
% of annual revenue: \_\_\_\_\_
- Enterprise Resource Planning  
% of annual revenue: \_\_\_\_\_
- Customer Relationship Management  
% of annual revenue: \_\_\_\_\_
- Supply Chain Management  
% of annual revenue: \_\_\_\_\_
- Enterprise Application Integration  
% of annual revenue: \_\_\_\_\_
- Health Care or Medical Purposes  
% of annual revenue: \_\_\_\_\_
- Aerospace or Defense applications  
% of annual revenue: \_\_\_\_\_
- Fire, security, or other emergency applications  
% of annual revenue: \_\_\_\_\_
- Homeland Security - Anti-Terrorism  
% of annual revenue: \_\_\_\_\_
- Oil and Gas, Power or Nuclear Energy  
% of annual revenue: \_\_\_\_\_
- Pollution or Environmental  
% of annual revenue: \_\_\_\_\_
- Content and Knowledge Management  
% of annual revenue: \_\_\_\_\_
- Smart card solutions  
% of annual revenue: \_\_\_\_\_
- Payroll or Accounting  
% of annual revenue: \_\_\_\_\_
- Human Resources  
% of annual revenue: \_\_\_\_\_
- Entertainment or Gaming  
% of annual revenue: \_\_\_\_\_
- Virtual private networks  
% of annual revenue: \_\_\_\_\_
- eBusiness consulting services  
% of annual revenue: \_\_\_\_\_
- Housing of mainframe and midrange equipment  
% of annual revenue: \_\_\_\_\_
- Store, publish or distribute Internet content  
% of annual revenue: \_\_\_\_\_

#### Basic Network Infrastructure

- Public Switched Telephone Network only  
% of annual revenue: \_\_\_\_\_
- Public Switched and Internet Protocol  
% of annual revenue: \_\_\_\_\_
- Internet Protocol only  
% of annual revenue: \_\_\_\_\_

## Types of Services

- Public  
% of annual revenue: \_\_\_\_\_
- Private  
% of annual revenue: \_\_\_\_\_
- Local  
% of annual revenue: \_\_\_\_\_
- Long Distance  
% of annual revenue: \_\_\_\_\_

## Types of Transport

- Wireline  
% of annual revenue: \_\_\_\_\_
  - Wireless  
% of annual revenue: \_\_\_\_\_
  - Percentage of Telephony Revenue from Commercial Subscribers: \_\_\_\_\_
  - Enterprise and personal computing solutions  
% of annual revenue: \_\_\_\_\_
  - Managed maintenance of IT and Non IT environments  
% of annual revenue: \_\_\_\_\_
  - Engineering services to provide warranty and maintenance support of major desktop systems, software and peripherals  
% of annual revenue: \_\_\_\_\_
  - Engineering services to perform roll-outs, software and hardware upgrades, equipment moves and installation  
% of annual revenue: \_\_\_\_\_
  - Asset services  
% of annual revenue: \_\_\_\_\_
  - Integration and logistics services  
% of annual revenue: \_\_\_\_\_
  - Single point of contact call management and problem resolution  
% of annual revenue: \_\_\_\_\_
  - 24 X 7 access to help desk, remote management, network support services  
% of annual revenue: \_\_\_\_\_
  - Call reception, logging and management: Receipt and logging of customer calls, management of calls to closure  
% of annual revenue: \_\_\_\_\_
  - Design, build, optimize secure, fixed and wireless networks  
% of annual revenue: \_\_\_\_\_
  - Provision of management and engineering services in the design, implementation, construction, certification of networks  
% of annual revenue: \_\_\_\_\_
  - Provision of design, implementation and the turnkey management of networks for telecom carriers  
% of annual revenue: \_\_\_\_\_
  - Provision of national call centers and network operations centers  
% of annual revenue: \_\_\_\_\_
2. Do you sell consumer oriented products?  Yes  No  
Provide the total number of units sold over the last 5 years:  Less than 5000 units  5000 - 10,000 units  More than 10,000 units
3. Do you develop products or offer services that involve processing, transmitting or storing non-public personal information for customers in the banking, financial services, medical or retail business sectors?  Yes  No  
Please describe your products you develop or services you offer:  
\_\_\_\_\_  
\_\_\_\_\_
- What % of your gross revenue is derived from these activities?  0 - 10%  11 - 25%  26 - 50%  51% or more  
In your estimation, how large a financial loss could your customer(s) experience if non-public personal information was disclosed? \_\_\_\_\_
4. Do you sell, install, maintain or service information technology products that include a security feature?  Yes  No  
Please describe: \_\_\_\_\_
- What % of your gross revenue is derived from these activities?  0 - 10%  11 - 25%  26 - 50%  51% or more
5. Do you, or others on your behalf, engage in the practice of distributing unsolicited emails?  Yes  No



indicate that your proposal supercedes all terms as outlined in the RFP?  Yes  No  N/A

14. Are your global contracts or agreements written with the same provisions as your domestic contracts?  Yes  No  
 What provisions are different? \_\_\_\_\_

15. Do you ever make verbal or written promises or guarantees in your sales and marketing presentations that would deviate from your standard written contracts or sales and marketing materials?  Yes  No

16. Does your sales and marketing staff receive formalized training regarding standard provisions in your contracts or agreements?  Yes  No

17. Provide the following information for your five largest contracts, purchase orders or agreements:

Customer Name	Size of Contract (\$)	Length (Months)	Development Cost (%)	License Fees (%)	Maintenance Cost / Fees (%)	Type of Products / Services

18. Indicate which of the following provisions are included in your standard customer contracts or agreements:

- Statement of Work and Specifications  Yes  No  N/A
- Deliverables and Installation  Yes  No  N/A
- Mutual Hold Harmless Agreement  Yes  No  N/A
- Disclaimer of Warranties  Yes  No  N/A
- Dispute Resolution / Arbitration Provision  Yes  No  N/A
- Severability Clause  Yes  No  N/A
- Term and Termination  Yes  No  N/A
- Integration Provision  Yes  No  N/A
- Force Majeure Clause  Yes  No  N/A

**V. Subcontractors**

- 1. Do you subcontract over 20% of development, implementation or support of your products or services?  Yes  No
- 2. What percentage of work is generated using subcontractors? \_\_\_\_\_

**3. Indicate which of the following services you specifically subcontract to others:**

- Software Development  Yes  No
- System Integration and Design  Yes  No
- Service, Support, Customer Consulting, Call Center Services  Yes  No
- Maintenance of your product  Yes  No
- Billing Services  Yes  No
- Networking Infrastructure Construction and Design  Yes  No
- Network or Facilities Management and Maintenance  Yes  No
- Infrastructure, Network or Systems Security  Yes  No
- Data Storage  Yes  No

4. Do you enter into contracts with your subcontractors, independent contractors or vendors?  Yes  No  
 Does your legal counsel review these contracts to assure that the terms are worded to your benefit or favor?  Yes  No

**5. Do you require certificates of insurance from all subcontractors, vendors, independent contractors and Original Equipment Manufacturers:**

- For General Liability Insurance (including Product Liability)  Yes  No  
 What limit of insurance? \_\_\_\_\_
- For Errors and Omissions Insurance  Yes  No  
 What limit of insurance? \_\_\_\_\_

6. Do you have a procedure in place to be added as an additional insured?  Yes  No

**VI. Quality Control and Product or System Development Procedures**

**1. Do your quality control procedures or initiatives include the following:**

- Written and Formalized Quality Control Program  Yes  No  N/A



- Alpha Testing  Yes  No  N/A
- Beta Testing  Yes  No  N/A
- Formal customer acceptance procedure  Yes  No  N/A
- Formal product recall plan  Yes  No
- Formal policy for documenting and responding to customer complaints or requests for changes or fixes  Yes  No  N/A

**2. Do your product or systems development procedures include the following:**

- Systems development methodology in writing  Yes  No  N/A
- A written proposal or request for information in order to determine customer performance expectations is required  Yes  No  N/A
- A written contract of specifications of products and services you will provide signed by the customer  Yes  No  N/A
- A written agreement outlining the scope of the project or services  Yes  No  N/A
- Contract/Statement of work which outlines responsibilities of all parties  Yes  No

- 3. What is the average length of an installation or integration? (# of Whole or Partial Months) \_\_\_\_\_
- 4. How long are development documents, contracts and other critical documents retained?  # of months  # of years  Unlimited  N/A  
 # of Months: \_\_\_\_\_  
 # of Years: \_\_\_\_\_

**5. Do your Customer sign-off procedures include the following:**

- Interim changes documented with customer sign-off are required  Yes  No  N/A
- Performance milestones acknowledged and accepted with customer sign-off when achieved  Yes  No  N/A
- Final test made with the customer and sign-off is required  Yes  No  N/A
- A final acceptance letter or sign off agreement from the customer is required  Yes  No  N/A

**VII. Customer Support**

- 1. Do you have at least two forms of customer or product support?  Yes  No  N/A
- 2. Describe your customer training and support:  
 \_\_\_\_\_  
 \_\_\_\_\_

- 3. Is there customer support 24 hours a day?  Yes  No
- 4. Do you maintain written logs for customer complaints of problems or downtime?  Yes  No  
 How long are they retained? (# of Whole or Partial Months) \_\_\_\_\_

**5. Indicate which of the following processes you use for responding to customer complaints and elevating those complaints to the next level:**

- Database used to record complaints and identify trends
- Reports back to systems development and implementation
- Rating system to determine when higher level response is necessary
- None

- 6. Describe your dispute resolution process:  
 \_\_\_\_\_  
 \_\_\_\_\_

- 7. Who has the ultimate responsibility for seeing that problems or disputes are resolved? \_\_\_\_\_

- 8. Do you inform customers of problems you discover?  Yes  No  
 Please explain: \_\_\_\_\_

- 9. Describe your escalation procedure for customer or product support complaints or issues that are not easily resolved:  
 \_\_\_\_\_  
 \_\_\_\_\_

- 10. Is all customer data and information backed-up and stored off site?  Yes  No

- 11. What is the frequency of this procedure?  Daily  Weekly  Monthly

**VIII. Network & Facility Management**

**Security Management**

1. Do you advertise or contractually stipulate that your network is "secure"?  Yes  No
2. Do you limit your liability in contracts for any breach of your security?  Yes  No  
Please explain: \_\_\_\_\_
3. Are firewalls used to prevent unauthorized access from computer systems and both internal and external networks?  Yes  No
4. Are remote users authenticated before being allowed to connect to private or dedicated lines, VPN's, computer systems, or internal networks?  Yes  No
5. Does your security system include password protection?  Yes  No
6. Do you have internal data security?  Yes  No
7. What type of encryption is used to secure data and information?  
\_\_\_\_\_  
\_\_\_\_\_
8. Do you host any sensitive information, such as credit card or other information assets, for your clients?  Yes  No
9. Do others rely on your network for directly generating revenue or taking customer orders?  Yes  No
10. Are you responsible for any tangible property of clients such as servers or other equipment?  Yes  No
11. Are anti-virus tools and procedures used on desktops and mission critical servers?  Yes  No
12. How often are the tools and procedures updated? \_\_\_\_\_
13. Do you have a formal patch management process in place?  Yes  No
14. Are back-up and recovery procedures for all mission critical systems in place and documented?  Yes  No
15. Are business continuity plans in place for all mission critical business processes?  Yes  No
16. Are your network and computer systems monitored?  Yes  No  
By whom? \_\_\_\_\_  
How often? \_\_\_\_\_
17. How do you learn about newly discovered weaknesses?  
\_\_\_\_\_  
\_\_\_\_\_
18. Do you receive CERT advisories, or any other similar notification?  Yes  No
19. What action do you take as a result and what is the time frame for such action?  
\_\_\_\_\_  
\_\_\_\_\_
20. Do you outsource the management or maintenance of any part of your computer system or network, such as servers, firewalls etc. to others?  Yes  No
21. Provide the details of vendors, including their names and services provided:  
\_\_\_\_\_  
\_\_\_\_\_
22. Do you perform background checks, including credit and criminal history, on new programming, technical or security employees, independent consultants and vendors?  Yes  No
23. Are all employees required to sign a statement that allows you to conduct mid-employment screenings at your discretion?  Yes  No
24. Are all employees provided with your systems security policy manual?  Yes  No  
Are all employees required to provide written confirmation acknowledging that they have received, read and understood the contents of the security policy manual?  Yes  No  
Are all employees required to sign a statement confirming that failure to follow security procedures set forth in your systems security policy manual will result in disciplinary action, up to and including termination?  Yes  No  
Is training provided?  Yes  No
25. Do employee termination procedures include immediate revocation of all access to systems, facilities and networks?  Yes  No
26. Have you experienced a security breach?  Yes  No  
Provide details: \_\_\_\_\_  
\_\_\_\_\_  
What have you done to prevent it from occurring again?  
\_\_\_\_\_  
\_\_\_\_\_

### Network Redundancy & Availability

#### 27. Does your network redundancy and availability loss prevention include the following:

- |  |  |
|--|--|
| Looped network architecture                              | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Network monitoring with automatic response               | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Load balancing available and offered to all customers    | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Management of third party providers (audits, agreements, | <input type="checkbox"/> Yes <input type="checkbox"/> No |

etc)

Dependency on third party networks  Yes  No

Back up power  Yes  No

Automatic virus detection operating over your entire network  Yes  No

Do you use a standard service level agreement in which parameters for network availability are spelled out?  Yes  No

If none of the above are used, please explain your approach to network redundancy and availability loss prevention:

**28. What is your network's excess capacity percentage during the following periods?**

Peak demand: \_\_\_\_\_

Average demand: \_\_\_\_\_

**Network Reliability**

**29. Does your network reliability loss prevention include the following:**

Audits of system performance, including trend analysis and incident investigation  Yes  No

Manufacturer warranties on system components  Yes  No

Compliance with network warranty stipulations  Yes  No

Meeting or exceeding manufacturer's specifications for preventive maintenance and scheduling  Yes  No

If none of the above are used, please explain your approach to network reliability loss prevention:

**Infrastructure Protection**

30. Do you host, store, or maintain customer servers, data or information?  Yes  No

**At each location at which you host customer property, data or information, does your physical protection include the following:**

An automatic sprinkler system connected to a central station alarm  Yes  No

Automatic smoke detection connected to a central station alarm  Yes  No

Automatic heat detection connected to a central station alarm  Yes  No

Premises intrusion detection connected to a central station alarm  Yes  No

Power surge protection  Yes  No

An independent, back-up power supply  Yes  No

An emergency response team  Yes  No

**IX. Historical Information**

**1. In the past three years, have you or your subcontractors experienced any of the following:**

Product Recalls  Yes  No

Past due contracts  Yes  No

Please explain: \_\_\_\_\_

**2. Within the past three years, have any customers withheld payment or requested a refund because your products or services:**

Did not meet the customer's performance expectations  Yes  No

Did not perform in compliance with your warranties or representations  Yes  No

Please explain: \_\_\_\_\_

**3. Are you aware of any act, error or omission, unresolved contract dispute or any other circumstance, which may reasonably be expected to result in a claim?  Yes  No**

Please explain: \_\_\_\_\_

4. Within the past three years, have you sued any customers for nonpayment of contracts?  Yes  No  
Please explain: \_\_\_\_\_
5. List and provide details on any suits, potential suits, complaint letters, disputes or any other circumstances alleging nonperformance of contract or nonperformance of your products or services:  
\_\_\_\_\_  
\_\_\_\_\_
6. For each instance above, indicate the expense associated with handling:  
\_\_\_\_\_  
\_\_\_\_\_
7. Has any company ever declined to write, cancelled or nonrenewed Errors or Omissions coverage for you?  Yes  No  
Please explain: \_\_\_\_\_
8. Do you currently purchase Errors or Omissions coverage?  Yes  No
9. Do you purchase Errors & Omissions coverage from Chubb?  Yes  No
10. How many carriers provide the coverage?  1  2  3  4  More than 4
11. How many other carriers provide Errors or Omissions coverage?  0  1  2  3  4  More than 4  
Current Primary Carrier: \_\_\_\_\_  
Policy Period: \_\_\_\_\_  
Limit: \_\_\_\_\_  
Deductible: \_\_\_\_\_  
Premium: \_\_\_\_\_  
Retroactive date: \_\_\_\_\_  
Excess Carrier: \_\_\_\_\_  
Limit: \_\_\_\_\_  
Premium: \_\_\_\_\_  
Excess Carrier: \_\_\_\_\_  
Limit: \_\_\_\_\_  
Premium: \_\_\_\_\_  
Excess Carrier: \_\_\_\_\_  
Limit: \_\_\_\_\_  
Premium: \_\_\_\_\_  
Excess Carrier: \_\_\_\_\_  
Limit: \_\_\_\_\_  
Premium: \_\_\_\_\_  
Describe additional excess coverage:  
\_\_\_\_\_  
\_\_\_\_\_

## Applicant Acknowledgement

First Name: \_\_\_\_\_  
Last Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_  
Signature \_\_\_\_\_

INFORMATION OR DATA CONTAINED IN OR SUBMITTED IN CONNECTION WITH THIS APPLICATION (OR OTHERWISE TO ANY OF THE MEMBER INSURERS OF CHUBB GROUP OF INSURANCE COMPANIES ("CHUBB") IN CONNECTION WITH THE UNDERWRITING PROCESS) DOES NOT CONSTITUTE NOTICE OF AN OCCURRENCE, WRONGFUL ACT, CLAIM, SUIT OR OTHER CIRCUMSTANCE AND DOES NOT SATISFY ANY OF THE REPORTING NOTIFICATION OR OTHER PROVISIONS OF ANY POLICY. ALL SUCH NOTICES MUST BE GIVEN SEPARATELY IN ACCORDANCE WITH THE APPLICABLE POLICY CONDITIONS

For the purposes of this application, the above-signed officer of all person(s) and entity(ies) proposed for this insurance declares and acknowledges by clicking where indicated below that, he/she has reviewed this application and the statements contained therein with his/her Chief Executive Officer, Chief Financial Officer, Chief Operating Officer or their equivalents, and that to the best of their knowledge and belief, after reasonable inquiry, the statements in this application, and in any attachments, are true and complete. Chubb is authorized to make any inquiry in connection with this application. Signing this application shall not constitute a binder or obligate Chubb to complete this insurance, but it is agreed this application shall be the basis upon which a policy may be issued.

If the statements in this application or in any attachment change materially before the effective date of any proposed policy, the applicant must notify Chubb, and Chubb may modify or withdraw any quotation.

You understand that the limit of liability under any policy to be issued in response hereto shall include both indemnity payments for claims and payment of claim and defense expenses, as defined in the policy.

Defense cost provisions - Please note that the defense cost provision of the policy stipulates that the limits of liability may be completely exhausted by the cost of legal defense. Any deductible or retention shall apply to investigation expense and defense costs as well as indemnity.

**NOTICE TO APPLICANT - PLEASE READ CAREFULLY.**

ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON, FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES, INCLUDING BUT NOT LIMITED TO FINES, DENIAL OF INSURANCE BENEFITS, CIVIL DAMAGES, CRIMINAL PROSECUTION AND CONFINEMENT IN STATE PRISON.

**APPLICABLE IN CALIFORNIA**

FOR YOUR PROTECTION CALIFORNIA LAW REQUIRES THE FOLLOWING TO APPEAR ON THIS FORM: ANY PERSON WHO KNOWINGLY PRESENTS FALSE OR FRAUDULENT CLAIM FOR THE PAYMENT OF A LOSS IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN STATE PRISON.

**APPLICABLE IN COLORADO**

IT IS UNLAWFUL TO KNOWINGLY PROVIDE FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES, DENIAL OF INSURANCE, AND CIVIL DAMAGES. ANY INSURANCE COMPANY OR AGENT OF AN INSURANCE COMPANY WHO KNOWINGLY PROVIDES FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO A POLICYHOLDER OR CLAIMANT FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE POLICYHOLDER OR CLAIMANT WITH REGARD TO A SETTLEMENT OR AWARD PAYABLE FROM INSURANCE PROCEEDS SHALL BE REPORTED TO THE COLORADO DIVISION OF INSURANCE WITHIN THE DEPARTMENT OF REGULATORY AGENCIES.

**APPLICABLE IN FLORIDA**

ANY PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD, OR DECEIVE ANY INSURER FILES A STATEMENT OF CLAIM OR AN APPLICATION CONTAINING ANY FALSE, INCOMPLETE, OR MISLEADING INFORMATION, IS GUILTY OF A FELONY OF THE THIRD DEGREE.

**APPLICABLE IN LOUISIANA**

ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFITOR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON.

**APPLICABLE IN MAINE**

IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES OR A DENIAL OF INSURANCE BENEFITS.

**APPLICABLE IN NEBRASKA**

ANY PERSON WHO, KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON, FILES AN APPLICATION FOR INSURANCE CONTAINING ANY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY MATERIAL FACT THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME, WHERE SUCH PERSON SUBSEQUENTLY SUBMITS A CLAIM.

**APPLICABLE IN NEW MEXICO**

ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO CIVIL FINES AND CRIMINAL PENALTIES.

**APPLICABLE IN NEW YORK**

ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SHALL ALSO BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED FIVE THOUSAND DOLLARS AND THE STATED VALUE OF

THE CLAIM FOR EACH SUCH VIOLATION.

**APPLICABLE IN OHIO**

ANY PERSON WHO, WITH THE INTENT TO DEFRAUD OR KNOWING THAT HE IS FACILITATING A FRAUD AGAINST AN INSURER, SUBMITS AN APPLICATION OR FILES A CLAIM CONTAINING A FALSE OR DECEPTIVE STATEMENT IS GUILTY OF INSURANCE FRAUD.

**APPLICABLE IN OKLAHOMA**

WARNING: ANY PERSON WHO KNOWINGLY, AND WITH INTENT TO INJURE, DEFRAUD OR DECEIVE ANY INSURER, MAKES ANY CLAIM FOR THE PROCEEDS OF AN INSURANCE POLICY CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY.

**APPLICABLE IN OREGON**

ANY PERSON, WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON, FILES AND APPLICATION FOR INSURANCE CONTAINING ANY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING INFORMATION CONCERNING ANY MATERIAL FACT THERETO, MAY BE GUILTY OF AN INSURANCE FRAUD.

**APPLICABLE IN PENNSYLVANIA**

ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

**APPLICABLE IN VIRGINIA**

IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS.

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