DIRECTORS AND OFFICERS LIABILITY-NOT FOR PROFIT ORGANIZATION RENEWAL APPLICATION



NOTICE:

THIS IS A CLAIMS MADE AND REPORTED POLICY THAT APPLIES ONLY TO THOSE CLAIMS FIRST MADE AGAINST THE INSURED DURING THE POLICY PERIOD AND REPORTED TO THE INSURER DURING THE POLICY PERIOD, OR THE DISCOVERY PERIOD, IF APPLICABLE. THE LIMIT OF LIABILITY AVAILABLE TO PAY LOSS SHALL BE REDUCED OR TOTALLY EXHAUSTED BY PAYMENT OF DEFENSE EXPENSES.

<u>I.</u>	GEN	IERAL INFORMATION SE	CTION								
1.	(a)	Name of Organization:									
	(b)	Organization Address:									
2.	(a)	Have there been any char months or is the Organiza (If "Yes", please provide d	tion currently	conte	emplating any mer			Yes		No	
	(b)	Has the Organization acquemonths? (If "Yes", please provide d	uired or creat	ed an	y Subsidiaries with	nin the last	twelve (12)	Yes		No	
3.	Please provide the following financial information for the Applicant and its Subsidiaries:										
					Current Year						
		Date of Financial Stateme	ent:								
		Current Assets:		\$							
		Total Assets:		\$							
		Current Liabilities:		\$							
		Total Liabilities:		\$							
		Fund Balance:		\$							
		Total Revenues:		\$							
		Net Income or Net Loss:		\$							
<u>II.</u>	EM	PLOYMENT PRACTICES	LIABILITY S	ECTI	ON						
1.	(a)	Number of Employees:			<u>Union</u>			Non-Unio	<u>n</u>		
			Full time:				Full time:			_	
			Part time:				Part time:			_	
			Total:				Total:			_	
	(b)	Total number of Volunteer	's:								
2.	twe	es the Organization anticipelve (12) months?	_			work forc	e within the ne	ext Yes		No	
^		Yes", please provide detail				la a C.C.	(40)				
3.		w many Employees or Office	ers have bee	en terr			` '				
	inul	mber of Employees:				r of Officer	S				_

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III. FIDUCIARY LIABILITY SECTION

1. Please provide the following information for each Plan of the Applicant:

Plan Name	Type of Plan (DC/DB/other)	Total Plan Assets (\$)	Annual Contributions	Number of
Fian Name	(DC/DB/other)	Total Flatt Assets (φ)	Contributions	Participants
		\$		
		\$		
		\$		
		\$		
		\$		
		\$		

2.	Have there been any mergers of Plans or any Plan terminations during the last 12 months?	Yes	No 🗌
	(If "Yes", please provide details on a separate page)		
3.	Have the Plans been reviewed within the last 12 months to assure that there are no		
	violations of prohibited transactions and party-in-interest rules?	Yes	No 🗌
	(If "No", please provide details on a separate page)		
4.	Has any Plan experienced an event reportable to the PBGC?	Yes	No 🗌
	(If "Yes", please provide details on a separate page)		

The undersigned authorized Officer of the Organization, on behalf of the Organization and its Subsidiaries, and on behalf of the Directors and Officers of the Organization and its Subsidiaries declares that to the best of his/her knowledge and belief, the information, particulars, documents, representations and statements contained in, attached or referred to in this application for insurance and/or as a result of the underwriting process are true and accurate and recognizes that the Insurer, in issuing this policy, will rely on such information, particulars, documents, representations and statements.

Although the signing of this application does not bind the undersigned to effect insurance, the undersigned agrees, on behalf of the Organization and its Subsidiaries, and on behalf of the Directors and Officers of the Organization and its Subsidiaries, that the information, particulars, documents, representations and statements contained in, attached or referred to in this application for insurance and/or as a result of the underwriting process shall be the basis of the contract should a policy be issued and that this application will be attached to and will become part of such policy. The Insurer is hereby authorized to make any investigation and inquiry it deems necessary in connection with this application.

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	The undersigned authorized Officer agrees that if the information supplied on this application changes between the date of this application and the effective date of the insurance, he/she (undersigned) will immediately notify							
	the Insurer of such changes, and the Insurer may withdraw or modify any outstanding quotations and/or							
	authorization or agreement to bind the insurance.							
Signature	E Title							
	(Chairman of the Board, President or Executive Director)							
Date	Organization							
One copy	y of each of the following documents is attached and made part of the policy:							
(а) Сомр	LETE COPY OF LATEST ANNUAL REPORT. IF AUDITED FINANCIALS, PLEASE INCLUDE AUDITORS NOTES							
(b) A COP	Y OF THE MOST RECENTLY FILED FORM 5500 OR MOST RECENT AUDITED PLAN FINANCIAL STATEMENTS							
(c) COMP	LETE COPY OF BY LAWS AND ARTICLES OF INCORPORATION							
(d) CURR	ENT LIST OF DIRECTORS AND OFFICERS							
(e) EEO-	1 Report (If Required By Federal Law)							
(f) COPY	OF EMPLOYMENT APPLICATION AND EMPLOYEE HANDBOOK							
Submitted	I By Date							
	(Producer)							
	SIGNATURE REQUIRED							
•	NEW YORK FRAUD STATEMENT							
insurance information	on who knowingly and with intent to defraud any insurance company or other person files an application for or statement of claim containing any materially false information, or conceals for the purpose of misleading, on concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.							

This application must be signed by the Chairman of the Board, President or Executive Director and dated

within thirty (30) days of the effective date of coverage.

NOTE:

No Signature Required

Date

Applicant's Signature

ARKANSAS, LOUISIANA, RHODE ISLAND, TEXAS AND WEST VIRGINIA FRAUD STATEMENT

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

ALABAMA FRAUD STATEMENT

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or who knowingly presents false information in an application for insurance is guilty of a crime and may be subject to restitution fines or confinement in prison, or any combination thereof.

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ALASKA FRAUD STATEMENT

A person who knowingly and with intent to injure, defraud, or deceive an insurance company files a claim containing false, incomplete, or misleading information may be prosecuted under state law.

ARIZONA FRAUD STATEMENT

For your protection Arizona law requires the following statement to appear on this form. Any person who knowingly presents a false or fraudulent claim for payment of a loss is subject to criminal and civil penalties.

CALIFORNIA FRAUD STATEMENT

For your protection, California law requires that you be made aware of the following: Any person who knowingly presents false or fraudulent claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.

COLORADO FRAUD STATEMENT

It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado division of insurance within the department of regulatory agencies.

DISTRICT OF COLUMBIA FRAUD STATEMENT

WARNING: It is a crime to provide false, or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

FLORIDA FRAUD STATEMENT

Any person who knowingly and with intent to injure, defraud or deceive any insurer, files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree.

HAWAII FRAUD STATEMENT

For your protection, Hawaii law requires you to be informed that any person who presents a fraudulent claim for payment of a loss or benefit is guilty of a crime punishable by fines or imprisonment, or both.

IDAHO FRAUD STATEMENT

Any person who knowingly, and with intent to defraud or deceive any insurance company, files a statement of claim containing any false, incomplete or misleading information is guilty of a felony.

INDIANA FRAUD STATEMENT

Any person who knowingly and with intent to defraud an insurer files a statement of claim containing any false, incomplete, or misleading information commits a felony.

KANSAS FRAUD STATEMENT

Any person who, knowingly and with intent to defraud, presents, causes to be presented or prepares with knowledge or belief that it will be presented to or by an insurer, purported insurer, broker or any agent thereof, any written statement as part of, or in support of, an application for the issuance of, or the rating of an insurance policy for personal or commercial insurance, or a claim for payment or other benefit pursuant to an insurance policy for commercial or personal insurance which such person knows to contain materially false information concerning any fact material thereto; or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act.

KENTUCKY FRAUD STATEMENT

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

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MAINE FRAUD STATEMENT

It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or a denial of insurance benefits.

MARYLAND FRAUD STATEMENT

Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

MINNESOTA FRAUD STATEMENT

Any person who files a claim with intent to defraud or helps commit a fraud against an insurer is guilty of a crime.

NEW HAMPSHIRE FRAUD STATEMENT

Any person who, with a purpose to injure, defraud or deceive any insurance company, files a statement of claim containing any false, incomplete or misleading information is subject to prosecution and punishment for insurance fraud, as provided in RSA 638:20.

NEW JERSEY FRAUD STATEMENT

Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

NEW MEXICO FRAUD STATEMENT

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties.

OHIO FRAUD STATEMENT

Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

OKLAHOMA FRAUD STATEMENT

WARNING: Any person who knowingly and with intent to injure, defraud, or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

OREGON FRAUD STATEMENT

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents materially false information in an application for insurance may be guilty of a crime and may be subject to fines and confinement in prison.

PENNSYLVANIA FRAUD STATEMENT

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

TENNESSEE, VIRGINIA, AND WASHINGTON FRAUD STATEMENT

It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

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