E-mail Address

4600 Touchton Road East, Building 100, Suite 400, Jacksonville, FL 32246

Lawyers' Professional Liability Insurance

CLAIMS MADE WARNING FOR APPLICATION

THIS PROPOSAL FORM IS FOR A CLAIMS MADE AND REPORTED POLICY, RELATING TO CLAIMS MADE AGAINST THE INSUREDS DURING THE POLICY PERIOD, THE AUTOMATIC EXTENDED REPORTING PERIOD OR THE PURCHASED EXTENDED REPORTING PERIOD, IF APPLICABLE.

Whenever printed in this Proposal Form, the terms in boldface type shall have the same meanings as indicated in the Policy. This Proposal Form is to be completed with respect to the <u>entire</u> Applicant Firm.

Name of Applicant Firm

Address				
City	County	State	Zip Code	
Phone:	Fax:			

The person designated as agent of the Applicant Firm and of all **Insureds** to receive any and all notices from the **Insurer** or their authorized representatives concerning this insurance:

Name General Information

1. Indicate the total number of personnel by location.

	Principal Office	Branch Office (a)	Branch Office (b)	Branch Office (c)
Total number of lawyers				
Paralegals or law clerks				
Other clerical/support staff				

Complete the Individual Insured Supplemental Form (LPL 29600).

2. In the past 12 months, has any lawyer in the Applicant Firm accepted a position as director, officer, trustee or partner of, or exercised any fiduciary control over, any organization other than the Applicant Firm?

Title

🖵 Yes 🗖 No

If "Yes", complete the following.

Name of Lawyer	Name of Organization	ls Organization For Profit or Non—Profit?	Is the Organization a Firm Client?	Position Held by Lawyer	Percentage of Equity Held	Percentage of Total Firm Billings
			🛛 Yes 🖵 No			
			🛛 Yes 🖵 No			
			🗅 Yes 🖵 No			

3. Indicate the Applicant Firm's gross income from the practice of law for the current fiscal year.

\$

Nature of Practice

4.	In the past year has there been any change in the Applic	cant Firm's are	ea of practice?	🛛 Yes 🗖 No
	If "Yes", indicate the percentage of gross income for the	past fiscal yea	ar derived from the following areas of practice:	
	Area of Practice	<u>%</u>	Area of Practice	<u>%</u>
	Administrative Law - General	<u>~~</u> %	Insurance Coverage	<u>//</u> %
	Admiralty/Maritime	%	Insurance Defense Litigation	%
	Antitrust/Trade Regulation	%	International Law	%
	Arbitration/Mediation	%	Labor Relations - Labor	%
	Bankruptcy	%	Labor Relations - Management	%
	Banks/Savings and Loans	%	Litigation (Civil)	%
	Civil Rights and Discrimination	%	Local Government Law – without bonds	%
	Collection/Repossession	%	Mergers and Acquisitions	%
	Commercial Law	%	Oil and Gas	%
	Commercial Litigation – Defense	%	Pension and Employee Benefits	%
	Commercial Litigation - Plaintiff	%	Personal Injury and Negligence Litigation - Defense	%
	Communications (FCC)	%	Personal Injury and Negligence Litigation - Plaintiff	%
	Construction Law	%	Public Contract Law	%
	Copyright/Patent/Trademark	%	Public Utilities	%
	Corporate Organization/Formation	%	Real Property - Conveyance	%
	Criminal Law	%	Real Property - Development	%
	Entertainment/Sports – with Money Management	%	Real Property - Title Examination	%
	Entertainment/Sports – without Money Management	%	Securities Law – including municipal bonds	%
	Environmental Law	%	Taxation - Opinions	%
	Estate, Trust and Probate	%	Taxation - Preparation	%
	Family Law	%	Workers' Compensation Litigation - Plaintiff	%
	General Corporate/Business	%	Workers' Compensation Litigation - Defense	%
	Healthcare	%	Other (list):	%
	Immigration and Naturalization	%		%
		,,	TOTAL	100%
5.	Indicate the percentage of the Applicant Firm's plaintiff of	cases that are	class actions suits.	%
	neral Policy and Procedures			
00				
6.	Since the completion of the last application, have there l interest systems or any other office procedures?	been any char	nges to the Applicant Firm's docket or conflict of	🗅 Yes 🗅 No
	If "Yes", provide complete details on a separate sheet.			
7.	How many lawyers of the Applicant Firm have participat hours during the last year?	ed in formal co	ontinuing legal educational programs of at least 7	
8.	How many suits for collection of fees have been filed by	the Applicant	Firm during the past year?	
9.	Does the Applicant Firm share office space, expenses, or partnership, firm, or organization? If "Yes", provide, on s			🗅 Yes 🗅 No

Litigation and Claim Information

10.	Has any lawyer in the Applicant Firm ever been refused admission to practice, disbarred, or suspended from practice, reprimanded, sanctioned, or disciplined by any court or administrative agency? If "Yes", provide full details.	Yes No
11.	During the last 12 months:	
	(a) has any professional liability claim or suit been made against the Applicant Firm, or any predecessor in business, or any past or present lawyers in the Applicant Firm?	🗆 Yes 🗖 No
	(b) has there been any change in the status of claims reported to other companies?	🗅 Yes 🗀 No
	If "Yes" to either (a) or (b) above, provide full details on the Claim / Incident Supplemental Form (LPL 29610).	
12.	Is the Applicant Firm or any lawyer in the Applicant Firm aware of any fact, circumstance, or situation that might result in any professional liability claim or suit against the Applicant Firm, or any predecessor in business, or any past or present lawyers in the Applicant Firm?	🗅 Yes 🗅 No
	If "Yes", provide full details on the Claim / Incident Supplemental Form (LPL 29610).	

Please Read Carefully

The undersigned acting on behalf of the Applicant Firm and all persons proposed for this insurance declares that the statements set forth herein are true and correct and that thorough efforts have been made to obtain sufficient information to facilitate the proper and accurate completion of this Proposal Form.

The undersigned agrees that the particulars and statements contained in the Proposal Form and any material submitted herewith are their representations and that they are material and are the basis of the insurance contract. The undersigned further agree that the Proposal Form shall be considered attached to and a part of the Policy. Any material submitted with the Proposal Form shall be maintained on file with the **Insurer** and shall be deemed to be attached hereto as if physically attached.

It is further agreed that:

- if any significant change in the condition of the applicant is discovered between the date of this Proposal Form and the Policy inception date, which would render this Proposal Form inaccurate or incomplete, notice of such change will be reported in writing to the Insurer immediately;
- the information contained in this Proposal Form shall not be used by the Applicant Firm and/or any Insureds as notice of Claims as provided for in section VII. of the Policy, nor will the Insurer recognize and/or accept the information contained herein as notice of Claims as provided for in section VII. of the Policy;
- any Policy, if issued, will be in reliance upon the truth of such representations;
- this Proposal Form has been completed as respects the <u>entire</u> Applicant Firm;
- and the signing of this Proposal Form does not bind the undersigned to purchase the insurance.

	Title:	
Signature of Partner, Owner, Officer or Principal		
	Dated:	

Print Name

This Carolina Casualty Insurance Company Proposal Form, including any material submitted herewith, shall be held in strictest confidence.

A POLICY CANNOT BE ISSUED UNLESS THE PROPOSAL FORM IS PROPERLY SIGNED AND DATED.

Please submit this Proposal Form including appropriate documentation to:

Monitor Liability Managers, Inc., 2850 West Gol	f Road, Suite 800, Rolling Meadows, IL 60008-4039
	Dated:
Submitted by (PRODUCER)	

AGENT'S NAME (Please Print Name Here)

LPL 29510 (rev. 05-04)

AGENT'S LICENSE NUMBER

ExecutivePerils

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11845 West Olympic Boulevard • Suite 750 • Los Angeles • CA • 90064 T:310-444-9333 • F:310-444-9355 • Web: <u>www.eperils.com</u> • CA Lic. #0E36308 dba: Executive Perils Insurance Services

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<u>NOTICE TO NEW MEXICO, PENNSYLVANIA APPLICANTS:</u> ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

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Name of Applicant Firm

NAME ALL OWNERS, PARTNERS, OFFICERS, DIRECTORS, STOCKHOLDER EMPLOYEES, AND EMPLOYED LAWYERS. ATTACH AN ADDITIONAL INDIVIDUAL INSURED SUPPLEMENTAL FORM IF THE FIRM SIZE EXCEEDS 20. Designations: "O" Officers, Directors, or Shareholders of the Applicant Firm who are licensed lawyers

ions;	.0	Officers, Directors, or Shareholders of the Applicant Firm who are licensed lawyers	
	"P"	Partners of a partnership	
	11011	Cala Drawintan	

'S" Sole Proprietor

"E" Employed lawyers (must be employee of Applicant Firm)

"C" "Of Counsel" attorneys for whom coverage is desired

"A"	Accordent for when	m any annual a dealast
A	Associate for who	m coverage is desired

	Lawyer's Name	Designation O, P, S, E, C or A	Admitted to Bar Mo/Yr	Years in Practice	Lawyer's Individual Specialty	Member in Good Standing of the following Bar Association(s)
1.				-		· · · · · · · · · · · · · · · · · · ·
2.				•		
3.						
4.						
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19.						
20.						

I understand that the information submitted herein becomes a part of the Applicant Firm's Lawyers' Professional Liability Proposal Form and is subject to the same representations and conditions.

		litte:	
Signature of Par	tner, Owner, Officer or Principal		
		Dated:	
Print Name		Form including appropriate documentation to: Road, Suite 800, Rolling Meadows, IL 60008-4034	
		Dated:	
Submitted by (PI	RODUCER)		

AGENT'S NAME (Please Print Name Here)

AGENT'S LICENSE NUMBER

LPL 29600 (rev. 05-99)

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Page 1 of 2

11845 West Olympic Boulevard • Suite 750 • Los Angeles • CA • 90064 T:310-444-9333 • F:310-444-9355 • Web: <u>www.eperils.com</u> • CA Lic. #0E36308 dba: Executive Perils Insurance Services

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1. Name of Applicant Firm

APPLICANT FIRM'S INSTRUCTIONS COMPLETE ONE FORM FOR EACH CLAIM, SUIT, OR CIRCUMSTANCE DURING THE LAST 5 YEARS. IF SPACE IS INSUFFICIENT TO ANSWER ANY QUESTIONS FULLY, PROVIDE SEPARATE ATTACHMENTS.

(a)	(b)				
Full name(s) of Defendant:					
(a)	(b)				
Additional Defendant(s):					
(a)	(b)				
Date alleged Claim , suit, or circumstance occurred:					
Date Claim made against an Insured:			_		
Location of Claim (City, State):			_		
Has this $\ensuremath{\textbf{Claim}}$, suit, or circumstance been reported to any	insurance carrier?				🛛 Yes 🗖 N
If "Yes", date reported to insurance company:					
To which insurance company did you report this Claim, suit	t, or circumstance?				
Current status of Claim , suit, or circumstance (circle one):	Closed	Open	In Suit		Potential
If Claim, suit, or circumstance is Closed, provide the following	ing:				
(a) Total damages paid: \$	 (b) Total expension 	es paid (including d	eductible):	\$	
(TOTAL DAMAGES PAID AND	TOTAL EXPENSES P	PAID MUST BE PRO	VIDED.)		
If Claim, suit, or circumstance is Open, In Suit, or Potential,	, provide the following:				
(a) Total damages demanded: \$	(b) Total expens	ses paid to date:		\$	
(PAID EXPENSE AMOUNT MUST BE PROVIDED. CON TO OBTAIN T	NTACT YOUR AGENT, THE REQUIRED INFOR		PANY, OR D	EFEN	SE COUNSEL
(a) What specific causes of action are alleged in the Claim		,	formation to	allow fo	or an evaluatio
		ζ σ			
(b) Description of events that gave rise to the Claim , suit, c					
(b) Description of events that gave rise to the Claim , suit, c	or circumstance.				
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