

**APPLICATION FOR  
PROFESSIONAL LIABILITY  
INSURANCE  
(CLAIMS-MADE FORM)**

1. NAME OF APPLICANT: \_\_\_\_\_  
(If other than parent firm, supply full details of ownership entity)
2. MAILING ADDRESS: \_\_\_\_\_  
Phone No. \_\_\_\_\_  
(If multiple name and locations, please attach list)
3. DATE ESTABLISHED \_\_\_\_\_ Corporation \_\_\_\_\_ Partnership \_\_\_\_\_ Individual \_\_\_\_\_
4. Is the firm engaged in, owned by, associated with or controlled by any other business? \_\_\_\_\_ If yes, give details \_\_\_\_\_

a) Fees & Receipts estimated for new policy year: \_\_\_\_\_ (attach your audited financials and D&B Report) \_\_\_\_\_

b) Actual Fees & Receipts for past three years:

19 \$ \_\_\_\_\_ 19 \$ \_\_\_\_\_ 19 \$ \_\_\_\_\_

5. PROFESSIONAL ACTIVITIES AND SPECIALTY (Attach narrative description if necessary)

a) Describe in detail the professional activities for which coverage is desired and indicate percentage of gross receipts derived from each activity (Type of Marine survey activities engaged in)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

b) Please attach separately lists of:

- (i) five largest clients and description of work performed for each;
- (ii) names of partners, key employees, etc. and their professional qualifications (include resumes);
- (iii) professional societies & organizations to which they or the firm belong(s).

c) Please attach copies of:

- 1) advertisements, brochures, descriptive literature;
- 2) sample contract between you and your clients outlining services to be rendered; latest financial data (Annual Report or balance sheet).

6. Please indicate the approximate percentages of the professions in which your firm is engaged:

_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %

_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %:

7. Number of employees, full and part time and their functions

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

8. a) Is the applicant engaged in any business or profession other than described in Item 5a?: \_\_\_\_\_ If yes, explain \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

b) Give details of any services or operations contemplated or changes in emphasis planned for the coming year

\_\_\_\_\_

\_\_\_\_\_

9. Give Professional Liability coverage for the last five years for the firm:

Carrier	Limit	Deductible	Premium	Expiration Month/Day/Year
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

If expiring insurance is a claims made policy, what is the retroactive date:

\_\_\_\_\_

10. a) List any professional liability claims actually made against you or any predecessor firm in the past ten years \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

b) List any known incidents which might give rise to a professional liability claim \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

c) Has any insurer cancelled or refused to renew any similar insurance during the past five years? \_\_\_\_\_

\_\_\_\_\_

11. Limits of Liability requested \_\_\_\_\_ Deductible \_\_\_\_\_

12. Desired term of policy From \_\_\_\_\_ To \_\_\_\_\_

13. The applicant declares that the above statements and representations are true and correct and that no facts have been suppressed or misstated. The completion of this application does not bind the Company to see nor the applicant to purchase this insurance, but any subsequent contract issued will be in full reliance upon the statements and representations made in this application and this application will be made a part of the policy.

The applicant understands that any subsequent contract issued by the Company will be issued on a CLAIMS MADE FORM.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Applicant

\_\_\_\_\_  
Title

# ExecutivePerils

11845 West Olympic Boulevard • Suite 750 • Los Angeles • CA • 90064  
 T:310-444-9333 • F:310-444-9355 • Web: [www.eperils.com](http://www.eperils.com) • CA Lic. #0E36308  
 dba: Executive Perils Insurance Services