

RENEWAL APPLICATION FOR ARCHITECTS/ENGINEERS PROFESSIONAL LIABILITY INSURANCE WITH CERTAIN UNDERWRITERS AT LLOYD'S

THIS APPLICATION IS FOR A CLAIMS MADE INSURANCE POLICY

APPLICANT'S INSTRUCTIONS

1. 2. 3. 4.	ALL QUESTIONS MUST BE ANSWERED COMPLETELY; PLEASE TYPE OR PRINT CLEARLY; IF ANY QUESTIONS ARE CONSIDERED "NOT APPLICABLE", PLEASE EXPLAIN WHY. IF YOU NEED MORE SPACE, CONTINUE ON A SEPARATE SHEET & INDICATE QUESTION NUMBER. PLEASE COMPLETE SUPPLEMENTS WHERE REQUIRED. THIS APPLICATION AND ALL SUPPLEMENT FORMS MUST BE SIGNED AND DATED BY A PRINCIPAL OF THE FIRM.				
	Renewal of Policy Number:	Expiration Date:			
1.	Name of Applicant:				
2.	Address:				
	City:	County:			
	State:	Zip:			
PERS	ONNEL				
3. a.	Number of Staff Total Licensed Professionals	This year			
GROS	S BILLINGS				
Total C	Gross Billings for professional services (whether	collected or not) to include reimbursable expenses			

Total Gross Billings for professional services (whether collected or not) to include reimbursable expenses and sub-consulting fees.

- 4.
 Projected 20__:
 \$_____

 Present 20__:
 \$_____

 Previous 20__:
 \$_____
- 5. Please indicate percentage of the Applicant's gross billings derived from projects outside the U.S.A and Canada _____%
- 6. Were more than 20% of the Applicant's billings during the past fiscal year derived from a single client or contract?

Yes O No O



PROFESSIONAL DISCIPLINES

7. Have you services changed from last year?

Yes O No O

If yes, please indicate percentages of the Applicant's Gross Billings derived from each of the following.

(Total must equal 100%)

Architecture	%	Landscape Architecture	%		
Civil Engineering	%	Land Surveying	%		
Mechanical Engineering	%	Construction Management	%		
Electrical Engineering	%	Process Engineering	%		
Structural Engineering	%	Chemical Engineering	%		
Soils Engineering	%	Environmental*	%		
Laboratory Testing	%	Hydrogeology/Geology	%		
HVAC Engineering	%	Interior Design	%		
Marine/Coastal Engineering	%	Land Use Planning	%		
Nuclear Engineering	%	Design/Build**	%		
Mining Engineering	%	Project management	%		
		Foundation Design	%		
		Forensic/Expert witness	%		
		Other (please specify)	%		
* If yos Supplement 1 must be submitted ** If yos Supplement 2 must be submitted					

* If yes, Supplement 1 must be submitted. ** If yes, Supplement 2 must be submitted.

8. Please indicate the percentage of the Applicant's billings derived from repeat business _____%

PROJECTS

9. Since last year has there been any change in the types of projects undertaken.

	Yes	0	No	0
If yes, please explain:				

10. Please list 3 largest projects.

CONTRACTS

11.Please confirm written contracts always used.YesONoO



CLIENTS

Confirm no changes from last years from last years application form. <i>If yes, please explain:</i>	Yes	0	No	C
Has the Applicant entered into any Joint Ventures? Is Joint Venture coverage required?	Yes	0	No	C
If yes, please explain:				
Does the Applicant or any principal have any financial interest i				_
provided professional services?	Yes	0	No	C
Is coverage for Equity interest required? If yes, please explain:	Yes	0	No	C
Has the name of the Applicant changed or has any other firm or amalgamated with or into the Applicant, or is any such change p			organisat	ion
			organisat No	
	oending Yes	?	C	ion C



FINANCIAL AND RELATED INTERESTS

17. During the past twelve months, has the Applicant or any subsidiary, parent or other organisation related thereto, been engaged in.

a	Actual construction, fabrication, or erection.	Yes	0	No	0
b	Development, sale or leasing of computer software.	Yes	0	No	0
c	Real Estate development.	Yes	0	No	0
d	Manufacture, sale, leasing or distribution of any product, process or patented production process.				
e	Design of a building, component or system which might be used on more than one project.	Yes	0	No	0
		Yes	0	No	0

LOSS HISTORY

18. a. Provide details of any changes and developments of all previously reported claims and/or circumstances. Please attach claim supplement 3. in addition and after enquiry.

Yes O No O

If yes. Supplement must be submitted.

b. After enquiry, are any member(s) of the Applicant aware of any circumstances, allegations or contentions as to any incident which may result in a claim being made against the Applicant?

Yes O No O

If yes, Supplement must be submitted.

c. Has the Applicant or any principal been the subject of disciplinary action by authorities as a result of their professional activities?

Yes O No O

If yes, please give details by attachment.

- 19. Please state coverage Limits and Deductibles required:
 - A. Coverage Limits of Liability \$ _____ B. Self Insured Retention \$ _____



The Applicant declares that, after enquiry, to the best knowledge of all persons to be insured the statements set forth herein and in any attachments made hereto are true, and no material facts have been suppressed, omitted, or mis-stated.

Underwriters reserve the right to amend the terms, conditions and limitations of any policy issued as a result of this renewal application, if subsequent to the date of this renewal application, but prior to the inception of such policy, there are any material alterations to the information contained herein.

Completion of this renewal application does not bind the Underwriter to provide coverage, but it is agreed that the statements and particulars contained herein will be relied upon by Underwriters in the event a policy is issued.

This renewal application is signed on behalf of all Owners, Principals, Partners, Shareholders, Directors and Employees.

Must be signed by Owner, Partner or Officer:

AUTHORISED SIGNATURE OF APPLICANT

TITLE



SUPPLEMENT 1



APPLICATION FOR ARCHITECTS/ENGINEERS PROFESSIONAL LIABILITY INSURANCE WITH CERTAIN UNDERWRITERS AT LLOYD'S

ENVIRONMENTAL CONSULTANTS AND ENGINEERS COVERAGE

This Supplement to the Application for Architects and Engineers is to be completed by firms providing professional services on environmental projects, unless unchanged from last year?

Name of Applicant: _____ Phone (____) _____

Address: _____

Please indicate Gross Billings attributable to each of the following.

		Gross Billings (Amounts in \$000's)				
1.	ENVIRONMENTAL SERVICES	Last Fiscal Year	Projected Current Fiscal Year	Percentage to be Sub- Contracted		
a.	Preparation of environmental studies and reports					
b.	Phase I & Phase II remedial action investigations,					
	feasibility studies, inspections and audits					
c.	Remedial design with supervisory services		_			
d.	Remedial design without supervisory services		_			
e.	Environmental project management					
f.	Preparation of environmental permit applications					
g.	Laboratory analysis and testing					
h.	Soil, air and water sampling/testing		-			
i.	Training and education		-			
j.	Preparation of manuals and other publications					
k.	Underground storage tank management					
1.	Other (please specify)					

TOTAL ENVIRONMENT

2.	ASBESTOS CONSULTING SERVICE						
a.	Air monitoring						
b.	Sampling and testing			<u> </u>			
c.	Abatement design			<u> </u>			
d.	Abatement project management						
e.	Other (please specify)			<u> </u>			
	TOTAL ASBESTOS						
3.	Does the Applicant contract or sub-contra	act to provide hands-on remed	liation s	ervices?			
	If yes, please complete Question 4.	Yes	0	No	0		
4.	SERVICES			s in \$000's)			
	PROFESSIONAL	Work Performed By You	Work	Performed	l By Others		
	Project management						
	Sampling/analysis						
	Monitoring System design/installation						
	Tank testing/monitoring						
	Tank design/installation						
		(Amounts					
		Work Performed By You	Work	Performed	l By Others		
	REMEDIAL ACTION						
	Hazardous materials clean up/soil removal						
	On-site hazardous waste treatment						
	Groundwater treatment/recovery						
	Mobile incinerators						
	Barrier construction/slurry walls/liners						
	Hazardous materials emergency						
	response/clean up						
	Tank removal						

	BESS	O LIMITED Work Performed By Yo)u	Work]	Performed	l By Others
	TRANSPORTATION					v
	Hazardous waste					
	Non-hazardous waste Other (<i>please specify</i>)					
	Saler (prease specify)					
		(A	Amount	s in \$000	's)	
	DRILLING	Work Performed By Yo)u	Work]	Performed	l By Others
	Operating oil/gas wells					
	Oil/gas drilling			-		
	Remedial monitoring wells Other (<i>please specify</i>)					
SUBC	CONTRACTORS					
5.	Please list all the Applicant's remedial act provide:	ion subcontractors and	indicat	te the er	vices the	ey
	Subcontractors		Туре	e of Ser	vices	
6.	Are all subcontractors hired under written	contract?	Yes	0	No	0
	Please provide a copy of the Applicant's	subcontractor contract	4 ••			
7.	Please describe in detail the Applicant's p	rocedures for qualifying	g subco	ontracto	ors:	

8. Please describe the extent of the Applicant's supervision of subcontractors:



PERMITS, RIGHTS, AUTHORITIES

a. List all permits held with Federal, State, County or Municipal governments, including permit 9 numbers and expiration dates:

		Permit	Number		Ε	xpiratio	n
	b.	What percentage of subcontractors	work under their own permi	its, righ	ts or au	thority?	
	c.	What percentage of subcontractors	work under the Applicant's	permits	, rights	or autho	rity?
	d.	Does the Applicant check required	permits for subcontractors?	Yes	0	No	0
IN	SUF	RANCE					
10	a.	Is the Applicant named as an Addi	tional Insured on the subcont	ractors'	Genera	l Liabilit	y and
		Pollution Legal Liability insurance	policies?	Yes	0	No	0
	b.	Does the Applicant require certific	ates of insurance				_
		from subcontractors?		Yes	0	No	0
	c.	What minimum limits does the Ap	plicant require?				
		Workers Compensation:General Liability:Pollution Legal Liability:					

d. What is the Applicant's procedure for monitoring certificates of insurance?



I understand the information submitted herein becomes part of the Renewal Application for Professional Liability Insurance and is subject to the same representations and conditions.

Must be signed by Owner, Partner or Officer:

AUTHORISED SIGNATURE OF APPLICANT TITLE



SUPPLEMENT 2



APPLICATION FOR ARCHITECTS/ENGINEERS PROFESSIONAL LIABILITY INSURANCE WITH CERTAIN UNDERWRITERS AT LLOYD'S

DESIGN/BUILD COVERAGE

This Supplement to the Application for Architects and Engineers Professional Liability Insurance is to be completed by firms providing professional services using the Design/Build method of project delivery.

 Name of Applicant:
 Phone (____)

Address: _____

Please indicate Gross Billings attributable to each of the following.

CONSTRUCTION VALUES/PROFESSIONAL FEES

1.	Last Fiscal Year 19		Projected Current Fiscal Y 19		
	Construction Values	Professional Fees	Construction Values	Professional Fees	
Design and Construction	\$	\$	\$	\$	
Design Only - No Construction	\$	\$	\$	\$	
Construction Only – No Design	\$	\$	\$	\$	
Construction Management	\$	\$	\$	\$	
Other(please specify)	\$	\$	\$	\$	
Total - All Operations	\$	\$	\$	\$	



DESIGN/BUILD SERVICES

2. Please describe relationship between the design firm and construction firm:

3. Please describe construction observation services performed by design firm:

4. Please list by attachment the 10 largest Design/Build projects in the past 5 years. Indicate names, locations, types of structures, services performed, construction values and completion dates.

5.	What is the Applicant's current bonding capacity?	\$ 			
6.	Has a surety company ever declined to offer a bond? If yes, please provide details by attachment	Yes	0	No	(

LIABILITY ISSUES

For all "yes" responses to questions 7 - 10, please provide details by attachment. Include project name and indicate if circumstance has been reported to insurance carrier.

7. Is the Applicant aware of any actual or alleged faulty or defective workmanship or faulty or malfunctioning equipment?

Yes O No O

0

8. Is the Applicant aware of any unresolved construction dispute including an unexcused delay, a budget overrun, or a change order which exceeds \$10,000?

Yes O No O

9. Has the Applicant or any subcontractor ever defaulted, failed to complete a contract, or had liquidated damages or similar penalties assessed against them?

Yes O No O

10. Has the Applicant or any subcontractor made a claim or lien against any party because of compensation due, or alleged to be due, which exceeds \$10,000?

Yes O No O



11. Please provide the following details with respect to the Applicant's Commercial General Liability and Umbrella Liability coverages:

	CGL	Umbrella
Company		
Term		
Limit		
Deductible		

12. Please detail by attachment the Applicant's Commercial General Liability loss history for the past year.

I understand the information submitted herein becomes part of the Application tar Professional Liability Insurance and is subject to the same representations and conditions.

Must be signed by Owner,	Partner or Officer		

TITLE

AUTHORISED SIGNATURE OF APPLICANT



SUPPLEMENT 3



APPLICATION FOR ARCHITECTS/ENGINEERS PROFESSIONAL LIABILITY INSURANCE WITH CERTAIN UNDERWRITERS AT LLOYD'S

10 LARGEST PROJECTS – PAST FIVE YEARS

	Name & Location	Client/Owner:	Project Type:	Professional Services:	Fees:	Construction Values:	Completion Date
1.							
2.							
3.							
4. 5.							
<i>5</i> . 6.							
7.							
8.							
9.							
10.							

.....

Must be signed by Owner, Partner or Officer

AUTHORISED SIGNATURE OF

APPLICANT TITLE







APPLICATION FOR ARCHITECTS/ENGINEERS PROFESSIONAL LIABILITY INSURANCE WITH CERTAIN UNDERWRITERS AT LLOYD'S

JOINT VENTURE INTEREST COVERAGE

This Supplement to the Application for Architects and Engineers Professional Liability Insurance is to be completed for those projects in which the Applicant has an Equity Interest.

	Use a separate Supplement for each Equity Inte	rest Project
Name of	of Applicant:	Phone ()
Addres	s:	
JOINT	VENTURE	
1.	Name of Joint Venture:	
2.	Names and addresses of all firms comprising Joint Venture:	
	(Please submit a copy of the Joint Venture Agreement between the	he member firms).
PROJI	ECT INFORMATION	
3.	Name and location of project:	
4.	Project description and services the Applicant is to perform:	



CONSTRUCTION VALUES/FEES

5. Give estimated beginning and completion dates for all designs and construction phases, indicating gross receipts for each phase:

		Beginning Dates	Completion Dates	n	Gross Recei	
	Schematic Design Phase: Design Development Phase: Construction Documentation Phase: Bidding/Negotiation Phase: Construction Administration Phase:					
6. 7. 8. 9.	Total estimated construction value of the Total estimated gross receipts from pro- Total estimated gross receipts from pro- applicant to date: Total estimated gross receipts from pro- in next 12 months:	ject to Joint Ventur ject received by	e:\$ \$			
LIAB	ILITY ISSUES					
10.	Has any insurer declined to provide, can member firm participating in the Joint		o renew any	similar	insuranc	e for any
	If yes, please explain in detail.		Yes	0	No	0
11.	Is the Applicant aware of any circumsta or any other member firm, with respect		re project?	_		
	If yes, please explain in detail.		Yes	0	No	0

12. Has any claim or suit ever been made against the Applicant, or against any other member firm, with respect to this Joint Venture project?

If yes, please explain in detail.	Yes	0	No	0



NAMED INSURED	COMPANY	TERM	LIMI	Г 	DED	UCTI
Describe nature of work	the Joint Venture sub	ocontracts to oth	ners:			
Does the Join Venture re	equire certificates of i	insurance from	it subcontra	actors?		
			Vac	Ο	No	Ω
			Yes	0	No	0
I understand the inform Professional Liability Ir		-	rt of the A _I	plicatio	on tar	-
•	nsurance and is subje	ect to the same	rt of the A _l representa	oplicatio tions at	on tar nd condi	-





APPLICATION FOR ARCHITECTS/ENGINEERS PROFESSIONAL LIABILITY INSURANCE WITH CERTAIN UNDERWRITERS AT LLOYD'S

EQUITY INTEREST COVERAGE

This Supplement to the Application for Architects and Engineers Professional Liability Insurance is to be completed for those projects in which the Applicant has an Equity Interest.

	Use a separate Supplement for each Equity Interest Project						
Name	of Applicant:		Phone ()				
Addres	SS:						
PROJ	ECT INFORMATION						
1.	Name of project:						
2.	Project description and services the Applica	*					
3.	Please indicate the following:						
		Beginning Dates	Completion Dates				
	Design Phase Construction Phase						
4. 5. 6.	Total construction value: Total gross receipts to all design profession Total gross receipts to Applicant:	nals: \$					



EQUITY INTEREST

7. Give full name of all parties having an Equity Interest in the project. Please indicate percentage of ownership for each party.

-	Name		ent Own nust equ	nership ual 100%	<i>5)</i>
-	Was Equity Interest taken in lieu of gross receipts?				
j	If yes, please provide details by attachment.	Yes	0	No	0
R	AL INFORMATION				
	Does the Applicant or any subsidiary, parent or related manufacturing or fabrication in connection with this pr		n constr	ruction,	
			0	No	0
-	If yes, please explain in detail.	Yes	0	110	
-	Do any of the parties named in Question 7, including the	neir owners, offi	cers or (
- -]		neir owners, offi	cers or (es, er
- -]	Do any of the parties named in Question 7, including the	neir owners, offi	cers or (
- -]	Do any of the parties named in Question 7, including the in construction, manufacturing or fabrication in connection in connecti	neir owners, offi tion with this pr	cers or oject?	employed	es, er
- -]]] - -	Do any of the parties named in Question 7, including the in construction, manufacturing or fabrication in connection in connecti	neir owners, offi tion with this pr Yes	cers or oject?	employed	es, ei



12. Is the Applicant aware of any circumstance which may result in any claim against the Applicant, or any other party named in Question 7?

If yes, please explain in detail.

Yes	0	No	0

I understand the information submitted herein becomes part of the Application for Professional Liability Insurance and is subject to the same representations and conditions.

Must be signed by Owner, Partner or Officer

AUTHORISED SIGNATURE OF APPLICANT

TITLE



SUPPLEMENT **6**



APPLICATION FOR ARCHITECTS/ENGINEERS PROFESSIONAL LIABILITY INSURANCE WITH CERTAIN UNDERWRITERS AT LLOYD'S

CLAIM FORM

APPLICANT'S INSTRUCTIONS

- 1. THIS FORM IS TO BE COMPLETED IF THE APPLICANT IS CURRENTLY OR HAS BEEN INVOLVED IN ANY CLAIM OR SUIT DURING THE LAST 10 YEARS AS INDICATED BY A "YES" ANSWER TO QUESTIONS 29a. AND b. PLEASE COMPLETE ONE FORM FOR EACH CLAIM.
- 2. IF SPACE IS INSUFFICIENT TO ANSWER ANY QUESTION FULLY; PLEASE USE SEPARATE SHEET. DO NOT ATTACH COPIES OF SUMMONS AND COMPLAINT
- 3. PLEASE NOTE THIS SUPPLEMENT IS FOR UNDERWRITING INFORMATION AND DOES NOT CONSTITUTE NOTICE OF CLAIM. IF YOU WISH TO NOTIFY A CLAIM ON YOUR CURRENT OR EXPIRING POLICY PLEASE CHECK THE CLAIMS PROVISIONS OF YOUR POLICY AND/OR SEEK ADVICE FROM YOUR BROKER.
- 4. PLEASE LEAVE NO BLANKS

1.	Full name of individual(s) and name of firm involved in the claim: a)
	b)
	c)
2.	Additional Defendants: a)
	b)
	c)
3.	Full name of claimant:
4.	Date of alleged error:
5.	To what insurance company was this claim reported?
6.	Date reported:
7.	Present status of claim (circle one): Open In Suit Closed



It p	bending, please indicate:	
a)	Amount asked in summons:	\$
b)	Claimant's Settlement demand:	\$
c)	Defendant's offer for settlement:	\$
d)	Total amount paid in defense costs to date:	\$
e)	Total damages paid/outstanding: \$	
If c	closed, please indicate amounts paid in:	
Inc	lemnity \$	Costs \$
	scription of claim, including likelihood of settleme ormation to allow an evaluation). DO NOT ATTA	
a)	Allegation upon which Claimant bases claim	:
b)	Description of events:	

.....

Must be signed by Owner, Partner or Officer

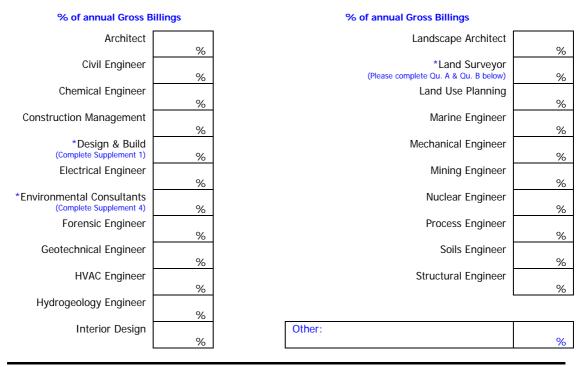
AUTHORISED SIGNATURE OF APPLICANT

TITLE

PROFESSIONAL DISCIPLINES & PROJECT AREAS Supplement

Professional Disciplines

 Please provide the percentages, based on your firm's annual gross billings from the last fiscal year (2a), attributed to the following professional disciplines provided by firm. (Total must equal 100%)



Land Surveyors Sub-Section if applicable.

 Please provide the following percentage split of project area derived from Land Surveyor services above. (Total must equal 100%)



b. Please provide the following percentage split of clients based upon the following professions & sectors: (Total must equal 100%)



Other:

%

Project Type & Category

2. Please provide the percentages, based on your firm's annual gross billings from the last fiscal year (2a), attributed to the following project type or category.

Airports		Nuclear Facilities / Plants /	
	%	Power Stations	%
Amusement parks / zoo	04	Parking garages	0/
Apartments	%	Pipelines	%
Apartments	%	Tipennes	%
Banks/Financial Institutions		Refinery / Petrochemical	
	%	_	%
Bridges / Tunnels / Dams /	0/	Religious / Churches	04
Reservoirs Condominiums (Total)	%	Research and development laboratories	%
	%	Research and development laboratories	%
(a) Commercial Condo:		Residential subdivisions	
	%		%
(b) Residential Condo:	%	Sewer / Water systems	07
Educational	70	Shopping centres	%
Educational	%	Shopping contros	%
Golf Courses		Single family homes	
	%		%
Harbours / Piers /Ports	%	Stadiums / Arenas / Convention centres	%
High rise commercial/office	/0	Swimming Pools / Ponds	70
(> 15 stories)	%		%
Highways / Roads		Townhouses	
	%		%
Hospitals / Assisted Living Facilities	%	Toxic / Hazardous waste sites	%
Hotels / Motels	/0	Wastewater treatment plants / systems	70
	%		%
Industrial / Manufacturing		Municipal	
Jails / Prisons	%		%
Jalis / Prisons	%	Industrial	%
Landfills	/0	L	70
	%		
Low Rise commercial/office		Other:	
Maahina Daaisa /	%	Other	%
Machine Design / Mechanical Design	%	Other:	%
Military Facilities	/0	Other:	70

Total: 100%

%

Supplement Disclosure

Mines / Quarries

Museums

I understand the information submitted herein becomes part of the Application for Professional Liability Insurance and is subject to the same representations and conditions.

%

%

%

PLEASE NOTE DATE FORMAT.									
Dated:	D	D	M	Μ	Y	Y	Y	Y	
Deted	D	D	0.0	0.0	V	V	V	V	
Officer:									
Signature of Principle, Partner or									
(Please type or print name)									
Name of Principle, Partner or Officer:									
(Please type or print name)									
Full legal name of your firm:									